

**CENTRAL SOUTH CONSORTIUM
REPORT FOR JOINT COMMITTEE**

8TH NOVEMBER 2018

JOINT EDUCATION SERVICE

PUPIL DEVELOPMENT GRANT 2018/19 – GRANT AWARD OF FUNDING APPROVAL

Author: Louise Blatchford – Senior Lead – Business and Operations

1. PURPOSE OF REPORT

- 1.1 The purpose of the report is to provide Joint Committee with an update with regards to the award of funding from Welsh Government for 2018/19 financial year.
- 1.2 To seek Joint Committee's approval to the use thereof.

2. RECOMMENDATIONS

It is recommended that Members:

- 2.1 Consider and note the award of grant funding;
- 2.2 Approve the use and distribution of grant funding in accordance with the terms and conditions of the award;
- 2.3 Recommend that the Lead Chief Executive and S151 Officer approves the receipt of grant funding.

3. BACKGROUND

- 3.1 As host authority Rhondda Cynon Taf act as 'banker authority', and under the terms of the grant agreements will be responsible for accepting the terms and conditions of grant and putting in place arrangements to distribute the funding, as determined by the Joint Committee, within the Consortium.

3.2 The method of apportionment of each grant will vary depending on its nature, its intended recipients, its purposes and the associated terms and conditions. For each grant the following process shall be adopted:

- The Consortium shall prepare a proposed method of distribution taking into account all relevant criteria;
- The Director of Education (or equivalent) of each authority shall approve the basis of apportionment at the Directors Group of the Central South Joint Education Service. This will ensure appropriate and effective use of grant funding to target school improvement equitably across each of the five authorities areas;
- A report detailing the basis and reasons for the method adopted shall be presented to the Joint Committee for approval.

4. CURRENT POSITIONS

4.1 The total award of funding for the Pupil Development Grant (previously known as the Pupil Deprivation Grant) for the financial year 2018/19 is £31,601,321.

4.2 From April 2018, the PDG has been allocated to support:-

- Learners who are eligible for free school meals (PLASC January 2016) and who are educated in maintained schools;
- Eligible learners who are singly-registered in pupil referral units (PRU's) and education other than at school (EOTAS);
- Eligible learners in early years settings where the Foundation Phase is delivered;
- Children Looked after (CLA), and former CLA who have been adopted from care or who are subject to a special guardianship or residence order aged 3-15
- Employment of PDG Strategic Adviser.

4.3 The total award of funding includes £196,231 which is an additional funding allocation made to CSC in line with the Welsh Government adopted 3 stage approach.

4.4 The funding is weighted according to category and age of pupils, details of which can be found in the table below:-

	Level of funding per pupil £
PDG Pupils aged 5-15	1,150
EYPDG Pupils aged 3-4	700
EOTAS Pupils aged 5-15	1,150
EOTAS Pupils aged 3-4 to include Non maintained settings	700
PDG CLA Pupils aged 3-15	1,150

4.5 For 2018/19 the apportionment of funding is as follows:-

	PDG CLA £	PDG Strategic Adviser £	Delegated Directly to Schools £	Centrally Retained LA Level for EOTAS and Non maintained settings £	Total £
Bridgend	240,987		4,300,450	7,700	4,549,137
Cardiff	360,578		11,831,521	169,500	12,361,599
Merthyr Tydfil	74,957		1,980,325	26,100	2,081,382
RCT	366,686		8,512,250	57,650	8,936,586
Vale of Glam	205,352		2,916,225	24,050	3,145,627
CSC	75,484	100,000			175,484
To be Allocated	351,506				351,506
TOTAL	1,675,550	100,000	29,540,771	285,000	31,601,321

5. CONCLUSION

- 5.1 Welsh Government have compiled the award of funding based on January 2016 PLASC. Funding for Children Looked After (CLA) is based on 2017 Wales Children Receiving Care and Support Census.
- 5.2 Additional funding has been awarded to new schools, schools with a planned increase in capacity and those schools who had a minimum of 5 eFSM learners; that were above 15% eFSM in 2017; and had an increase of at least 3 eFSM learners over 2015-2017 when compared to 2014-2016, funded at a level of 50% of that increase.
- 5.3 Subject to Joint Committee's consideration of the use and distribution of grant funding will be released to local authorities for distribution to respective schools.



Llywodraeth Cymru
Welsh Government

Christopher Lee
Group Director Corporate & Frontline Services and Deputy Chief Executive
Rhondda Cynon Taf County Borough Council
The Pavilions
Cambrian Park
Clydach Vale
Tonypany
CF40 2XX

18 October 2018

Dear Mr Lee

Award of Funding in relation to The Pupil Development Grant 2018-19

1. Award of Funding

- (a) We are pleased to inform you that your Application has been successful and funding of up to **£31,601,321** (“the Funding”) is awarded to you for the Purposes (as defined in Condition 4(a)).
- (b) We have analysed your Pupil Development Grant (PDG) Support Plan which was submitted as your Application for Funding on 31 May 2018. The Welsh Government is content to support your funding proposals on the basis of your application. It would be helpful to have further clarity on points of detail to aid the Welsh Government’s monitoring of the expenditure against the support plan. At Annex A is your submitted support plan with comments which must be addressed before the first claim is made.
- (c) The Funding relates to the period 1 April 2018 to 31 March 2019 and must be claimed in full by 8 March 2019 otherwise any unclaimed part of the Funding will cease to be available to you.
- (d) If you have any queries in relation to this award of Funding or the Conditions please contact the Welsh Government Official who will be happy to assist you.

Allocations

Your consortium's allocation is based on PLASC data for 2016 using year groups. For 2018-2019 and 2019-2020 your allocation to support these learners will be:

	Number of eligible learners	Allocation £
Learners eligible for free school meals (e-FSM)	22,421	25,784,150
Learners in early years settings (EYPDG)	5,173	3,621,100
Learners eligible for FSM in PRUs and EOTAS	194	223,100

	Number of eligible schools	Allocation £
Schools with no eFSM learners	1	1,150

Your allocation for looked after children is based on 2017 Wales Children Receiving Care and Support Census. For 2018-2019 and 2019-2020 your allocation to support these learners will be:

	Number of eligible learners	Allocation £
Looked after children aged 3-15	1,457	1,675,550

New Schools	£196,271
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PDG Strategic Adviser	£100,000
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2. Statutory authority

- (a) This award of Funding is made on and subject to the Conditions and under the authority of the Cabinet Secretary for Education, one of the Welsh Ministers, acting pursuant to sections 70 and 71(1) of the Government of Wales Act 2006 and section 14-17 of the Education Act 2002.

3. Interpreting these Conditions

Any reference in these Conditions to:

'you', 'your' is to
Rhondda Cynon Taf County Borough Council
Lead Banker Authority
The Pavilions
Cambrian Park
Clydach Vale
Tonypandy
CF40 2XX

'Welsh Government Official' is to

Tania Nicholson
Support for Learners Division
Welsh Government
Cathays Park
Cardiff CF10 3NQ

Tel: 03000 259068

Email: Tania.nicholson@gov.wales

or such other Welsh Government official as we may notify you.

'Project Manager' is to the PDG Strategic Adviser and PDG-LAC Lead Coordinator

Siriol Burford
Central South Consortium
Valley's Innovation Centre
Navigation Park
Mountain Ash
Abercynon
CF45 4SN

'Application' is to your Pupil Development Grant Support Plan submitted 31 May 2018.

'Conditions' is to the terms and conditions set out in this letter;

'Costs Incurred' is to the cost of goods and services you have received regardless of whether you have paid for them by the date of your claim;

'Notification Event' is to any of the events listed in Schedule 2;

'Payment Profile' is to the payment profile set out in Schedule 3;

'Personnel' is to your management/employees and suppliers or any other person appointed or engaged by you in relation to the Purposes;

'Schedule' is to the schedules attached to this letter;

'State Aid Rules' is to the rules set out in Articles 107 to 109 of the Treaty on the Functioning of the European Union (or in those Articles that may succeed Articles 107 to 109), secondary legislation such as frameworks, guidelines and block exemptions produced by the European Commission derived from Articles 107 to 109, case law of the European Courts and decisions of the European Commission regarding the application of Articles 107 to 109; and

any reference to any legislation whether domestic, EU or international law will include all amendments to and substitutions and re-enactments of that legislation in force from time to time.

4. What you must use the Funding for

- (a) You must use the Funding solely for the purposes set out in Schedule 1 (the "**Purposes**").
- (b) You must achieve the targets and outcomes set out in your Pupil Development Grant Support Plan.
- (c) Any change to the Purposes or Targets will require our written consent which must be obtained from us in advance of implementing any change. Please note that we are not obliged to give our consent but we will consider all reasonable written requests.
- (d) You must not use any part of the Funding for: (1) party political purposes; (2) the promotion of particular secular, religious or political views; (3) gambling; (4) pornography; (5) offering sexual services; (6) purchasing capital equipment (other than as specified in the Purposes); (7) your legal fees in relation to this letter; (8) Costs Incurred or costs incurred and defrayed by you in the delivery of the Purposes prior to the period referred to in Condition 1 (b); (9) any kind of illegal activities; or (10) any kind of activity which in our opinion could bring us into disrepute.

5. Funding pre-conditions

- (a) We will not pay any of the Funding to you until you have provided us with the following information and documentation:
 - (i) this letter signed by you;
 - (ii) documentary evidence that the signatories who have signed this letter on your behalf are duly authorised to do so;

- (iii) documentary evidence that you have appropriate systems in place to undertake due diligence before utilising any part of the Funding to provide a grant to or procure any goods or services from third parties;
- (iv) documentary evidence that you have put in place all staff and other resources detailed in the Application as required to commence and complete the Purposes;
- (v) a Pupil Development Grant Support Plan
- (vi) agreement that the Pupil Development Grant will be delegated to schools and early years maintained settings in its entirety, with the exception of the looked after children (LAC) element and the EOTAS element to be paid to the regional consortia to manage and optimise effectiveness across the region; and the Early Years non maintained settings which is delegated to the local authority. You are expected to ensure:
 - funding (as it is allocated based on the number of pupils who are eligible for free school meals) will be used to improve outcomes for pupils eligible for free school meals and reduce the difference in attainment between those eligible for free school meals and those who are not;
 - funding (as it is allocated based on the number of learners who are LAC) will be used to improve outcomes for pupils who are LAC, adopted from care or subject to Special Guardianship Orders and reduce the difference in attainment between this cohort and their peers;
 - that the element of the grant allocated for LAC learners will be retained and managed centrally by the consortium;
 - that the element of the grant allocated for EOTAS and PRU learners will be retained and managed centrally by the consortium; and
 - management of the funding for new schools following the bidding process in July 2018.
- (b) Where you are required to provide information and documentation to us as evidence that you have satisfied a particular pre-condition, Condition or in support of a claim, the information and documentation must be in all respects acceptable to us. We reserve the right to reject any information and documentation which is for any reason not acceptable to us.

6. How to claim the Funding

- (a) The Funding will be paid to you in arrears as detailed in the Payment Profile.
- (b) You must claim the Funding in accordance with the dates set out in the Payment Profile. You must claim the Funding promptly. We

reserve the right to withdraw any part of the Funding that you do not claim promptly.

- (c) You must submit your claims for payment of Funding to the Welsh Government Official.
- (d) You must use our current claim pro-forma (which is available from the Welsh Government Official) and attach the following information and documentation to each claim specified in the Payment Profile:
 - i) confirmation that you have appropriate systems in place to undertake due diligence before utilising any part of the Funding to provide a grant to or procure any goods or services from third parties.
- (e) We will aim to pay all valid claims as soon as possible and typically within 28 days.

7. Your general obligations to us

You must:

- (a) safeguard the Funding against fraud generally and, in particular, fraud on the part of your Personnel and notify us immediately if you have reason to suspect that any fraud within your organisation whether or not it relates to the Funding has occurred or is occurring or is likely to occur. You must also participate in such fraud prevention initiatives as we may require from time to time.
- (b) comply with all applicable domestic, EU or international laws or regulations or official directives;
- (c) maintain adequate insurances to cover against the risks which may arise in connection with any property or any activity undertaken in delivery of the Purposes. We reserve the right to require you to provide proof of your insurance;
- (d) put in place and maintain appropriate systems to undertake due diligence before utilising any part of the Funding to provide a grant to or procure any goods or services from third parties;
- (e) co-operate fully with the Welsh Government Official and with any other employee of the Welsh Government or consultant appointed by us to monitor your use of the Funding and your compliance with these Conditions;
- (f) inform us immediately if any of the declarations made in Condition 8 is incorrect in any respect or, if repeated at any time with reference to the facts and circumstances then existing, would be incorrect.

8. Declarations

You declare that:

- (a) you have the power to enter into and to perform the obligations set out in these Conditions and you have taken all necessary action to

authorise the entry into and performance of the obligations under these Conditions;

- (b) no litigation or arbitration is current or pending or, so far as you are aware, threatened, which have or could have an adverse effect on your ability to perform and comply with any of these Conditions;
- (c) the information contained in your Application is complete, true and accurate;
- (d) you have disclosed to us all material facts or circumstances which need to be disclosed to enable us to obtain a true and correct view of your business and affairs (both current and prospective) or which ought to be provided to any person who is considering providing funding to you; and
- (e) you have discussed and agreed the Targets with us and you are confident that they are realistic and achievable.

9. Notification Events and their consequences

- (a) You must notify us immediately if a Notification Event has occurred or is likely to occur but we also reserve the right to notify you where we believe a Notification Event has occurred or is likely to occur.
- (b) We will either (i) notify you that we consider that the Notification Event is not capable of remedy or (ii) if we consider that the Notification Event is capable of being remedied seek to discuss the Notification Event with you with a view to agreeing a course of action to be taken to address the Notification Event.
- (c) We will be entitled to take any of the actions listed in Condition 9(d) if:
 - (i) despite our reasonable efforts we have been unable to discuss the Notification Event with you; or
 - (ii) we notify you that the Notification Event is not capable of remedy; or
 - (iii) a course of action to address the Notification Event is not agreed with you; or
 - (iv) a course of action to address the Notification Event is agreed with you but you fail to follow it, or any conditions attached to it are not met (including without limitation the timescale for such course of action); or
 - (v) the course of action fails to remedy the Notification Event to our satisfaction.
- (d) If any of the circumstances set out in Condition 9(c) occurs we may by notice to you:
 - (i) withdraw the award of Funding; and/or
 - (ii) require you to repay all or part of the Funding immediately; and/or
 - (iii) suspend or cease all further payment of Funding; and/or

- (iv) make all further payments of Funding subject to such conditions as we may specify; and/or
 - (v) deduct all amounts owed to us under these Conditions from any other funding that we have awarded or may award to you; and/or
 - (vi) exercise any other rights against you which we may have in respect of the Funding.
- (e) All repayments of Funding must be made to us within 28 days of the date of our demand. If applicable, you must pay interest on any overdue repayments (on a compound basis) in accordance with the State Aid Rules.

10. Monitoring requirements

You must:

- (a) provide us with such documents, information and reports which we may reasonably require from time to time for us to monitor your compliance with the Conditions including:
 - i) a completed Lead Authority Allocation Certificate by 31 December 2019; and
 - ii) ensuring progress on this grant is reported to the Welsh Government via the Challenge and Review reporting mechanism. This will be an exception report on progress to date aligned to Our national mission: Education in Wales and include:
 - setting challenging targets; and
 - monitoring and evaluating the impact of the funding, risks and issues.
 - iii) Meet with the Welsh Government Official and such other of our representatives as we may from time to time reasonably require. Specifically, half termly joint meetings with consortia PDG strategic advisers and LAC co-ordinators, along with the Raising Attainment Advocate, to discuss how you work with schools to ensure effective use is made of the PDG to improve outcomes for learners who are eligible for free school meals or looked after children.
 - iv) In particular we want to know how you will ensure schools:
 - adopt a more equitable approach to funding to ensure that greater investment is made at KS3;
 - continue to work with both maintained and non-maintained early years providers to ensure they are making effective use of the grant, whilst strengthening your links with the non-maintained settings;
 - manage and optimise the effectiveness for EOTAS learners across the region; and
 - manage and optimise the effectiveness for looked after children across the region.

- (b) ensure that the Project Manager (or such other person as we may agree) together with any other person we may require attends all meetings with the Welsh Government Official.

11. Audit Requirements

- (a) You must:
 - (i) maintain clear accounting records identifying all income and expenditure in relation to the Purposes;
 - (ii) without charge, permit any officer or officers of the Welsh Government, Wales Audit Office or European Commission at any reasonable time and on reasonable notice being given to you to visit your premises and/or to inspect any of your activities and/or to examine and take copies of your books of account and such other documents or records howsoever stored as in such officer's reasonable view may relate in any way to your use of the Funding. This undertaking is without prejudice and subject to any other statutory rights and powers exercisable by the Welsh Government, Wales Audit Office or the European Commission or any officer, servant or agent of any of the above;
 - (iii) retain this letter and all original documents relating to the Funding until we inform you in writing that it is safe to destroy them;
 - (iv) ensure that the Funding is subject to internal local authority audit review for 2018-2019 and provide us with an audit certificate, reporting any limitations in the assurance provided, in accordance with the requirements set out in Schedule 4
- (b) Under paragraph 17 of Schedule 8 to the Government of Wales Act 2006 the Auditor General for Wales has extensive rights of access to documents and information relating to monies provided by the Welsh Government. He and his officials have the power to require relevant persons who control or hold documents to give any assistance, information and explanation that they may require; and to require those persons to attend before them for such a purpose. The Auditor General and his staff may exercise this right at all reasonable times.

12. Third party obligations

- (a) Nothing in the Conditions imposes any liability on us in respect of any liability incurred by you to any third party (including, without limit, employees and contractors).
- (b) You must indemnify us against any liabilities, claims, proceedings, demands, losses, costs and expenses suffered or incurred by us directly or indirectly arising as a result of or in connection with any

failure by you to perform fully or in part any obligation you may have to a third party.

13. Intellectual property rights and publicity

- (a) Nothing in these Conditions transfers to us any rights in any intellectual property created by you as a result of the Purposes.
- (b) You must acknowledge our support in relation to the Purposes. Such acknowledgement(s) must be in a form approved by us and must comply with the Welsh Government's branding guidelines.
- (c) You must provide the Welsh Government Official with details of all the acknowledgement(s) referred to in Condition 13(b) for our approval before any such acknowledgements are used and you may not use such acknowledgments without our prior written approval. We will endeavour to respond to all written requests for approval within 10 working days.
- (d) You agree that from the date of this letter until 5 years from the date of the final payment of Funding we may include details about your organisation and business, the Funding and the Purposes in Welsh Government promotional materials and you further agree to cooperate with our reasonable requests to achieve the production of such materials.

14. Information

- (a) You acknowledge that we are subject to the requirements of the Freedom of Information Act 2000 (the "FOIA"), the Environmental Information Regulations 2004 (the "EIR") and the Data Protection Act 1998 (the "DPA").
- (b) You acknowledge that we are responsible for determining in our absolute discretion whether:
 - (i) to disclose any information which we have obtained under or in connection with the Funding to the extent that we are required to disclose such information to a person making a disclosure request under the FOIA or the EIR; and/or
 - (ii) any information is exempt from disclosure under the FOIA or the EIR.
- (c) You acknowledge that we may share any data you provide to us with fraud prevention agencies and third parties for the purposes of preventing and detecting fraud. Any personal data we collect will be managed in accordance with our Privacy Notice which is available to view here: <https://beta.gov.wales/privacy-notice-welsh-government-grants>

15. Buying goods and services

If you decide to buy any goods and/or services to deliver the Purposes, they must be purchased in a competitive and sustainable way so as to demonstrate that you have achieved best value in the use of public funds.

16. Giving notice

- (a) Where notice is required to be given under these Conditions it must be in writing (this does not include email but may include a letter attached to an email) and must prominently display the following heading:

“Notice in relation to the Pupil Development Grant 2018-19”.

- (b) The address and contact details for the purposes of serving notice under these Conditions are as follows

You: the Project Manager at the address stated in Condition 3.

Us: the Welsh Government Official at the address stated in Condition 3.

- (c) A notice will be deemed to have been properly given as follows:-

Prepaid first class post: on the second working day after the date of posting.

By hand: upon delivery to the address or the next working day if after 4pm or on a weekend or public holiday.

By email attachment: upon transmission or the next working day if after 4pm or on a weekend or public holiday.

17. Equal opportunities

You must apply a policy of equal opportunities as employers, as users of volunteers, and as providers of services, regardless of race, gender/gender identification, sexual orientation, religion and belief, age or any disability.

18. Welsh language

Where the Purposes include or relate to the provision of services in Wales they must be provided in such a way as to not treat the Welsh language less favourably than English, in accordance with the Welsh Language (Wales) Measure 2011.

19. Sustainability

Your use of the Funding must (where reasonably practicable) meet the Welsh Government’s current agenda for sustainable development and the environment.

20. Welsh Ministers' functions

You acknowledge that the Welsh Ministers have a range of functions which will continue to accrue and be amended and that decisions in relation to each such function are obliged to be taken in the light of all relevant and to the exclusion of all irrelevant considerations. You agree that nothing contained or implied in , or arising under or in connection with, these Conditions will in any way prejudice, fetter or affect the functions of the Welsh Ministers or any of them nor oblige the Welsh Ministers or any of them to exercise, or refrain from exercising, any of their functions in any particular way.

21. General

- (a) If at any time any of these Conditions is deemed to be or becomes invalid, illegal or unenforceable in any respect under any law, the validity, legality and enforceability of the remaining provisions will not in any way be affected or impaired.
- (b) No failure or delay on our part to exercise any power, right or remedy under these Conditions will operate as a waiver of any such power, right or remedy or preclude its further exercise or the exercise of any other power, right or remedy. The powers, rights or remedies hereby provided are cumulative and not exclusive of any powers, rights or remedies provided by law.
- (c) Any amendment or variation to these Conditions must be in writing and signed by us and you in the same manner as this letter.
- (d) You may not assign or otherwise dispose of in any way your rights, benefits, obligations or duties under these Conditions.
- (e) Conditions 7, 9, 11, 13, 14, and 21(e) and such other Conditions which by implication need to continue in force beyond the final payment of Funding will so continue in full force and effect.
- (f) The award of the Funding is to you alone and no one else is entitled to make any claim in respect of the Funding or seek to rely on or enforce any of these Conditions.
- (g) These Conditions are to be governed by and construed in accordance with the laws of Wales and England as applied in Wales and the parties hereto submit to the exclusive jurisdiction of the courts of Wales and England.

22. How to accept this offer of Funding

- (a) To accept this award of Funding you must sign and return a copy of this letter to the Welsh Government Official. None of the Funding will be paid to you until we have received your signed letter.
- (b) We must receive your signed letter within 28 days of the date of this letter, or this award of Funding will automatically be withdrawn.

Yours faithfully

A handwritten signature in cursive script, appearing to read 'Tania Nicholson', written in dark ink.

Signed by Tania Nicholson

under authority of the Cabinet Secretary for Education, one of the Welsh Ministers

SCHEDULE 1

The Purposes

From April 2018, the PDG will be allocated to support:

- learners who are eligible for free school meals and who are educated in maintained schools
- eligible learners who are singly-registered in pupil referral units (PRUs) and education other than at school (EOTAS)
- eligible learners in early years settings where the Foundation Phase is delivered
- looked after children (LAC), and former LAC who have been adopted from care or who are subject to a special guardianship or residence order aged 3-15

The PDG Funding should be used to:

- develop staff, both teaching and support, in the use of practice such as metacognition, growth mind-set and quality feedback, that are currently viewed to be the most effective for learners from deprived backgrounds.
- intervene early to address weakness, particularly in literacy and numeracy. This applies to early years but is also relevant at the start of secondary school and at any point that a weakness is observed.
- ensure that increased resources are targeted at Key Stage 3, both in the classroom and in enrichment activities and raising aspirations, while recognising the importance of Year 11 for all learners. In support of the principles of early intervention and prevention, the Cabinet Secretary expects secondary schools to deliver an aspiration of 60% of PDG invested in Key Stage 3 learners.
- identify where and when support is required using appropriate diagnostic and tracking systems and what this looks like.

We are asking you to set out how you will work with schools to ensure that effective use is made of the PDG to improve outcomes for learners who are eligible for free school meals (eFSM) in maintained schools. In particular, we want to know how you will ensure schools:

- set challenging targets and monitor and evaluate the impact of the funding;
- adopt a more equitable approach to funding to ensure that greater investment is made at Key Stage 3;
- receive the necessary support to enable Head teachers to identify, use and evaluate chosen approaches to ensure continued effective improvement.

We ask that you continue to work with maintained and non-maintained early years providers to ensure they are making effective use of the grant, whilst strengthening your links with the non-maintained settings.

We ask you consider cluster models when determining the most effective use of funding to support the delivery of improved outcomes for disadvantaged learners.

The Welsh Government acknowledges new schools will come on stream after the data collection period. We would expect you to continue to manage funding of schools that are closing; amalgamating or new in a strategic and planned manner as you have done previously. Allocations have been fixed for two years and a minimum payment to support schools with no FSM learners in 2016 is provided. Schools with one EYPDG learner and in receipt of the equivalent funding (£700) would not qualify for the minimum payment.

The looked after children element of the PDG, which supports the educational attainment of looked after children and other related groups who have similar needs, will continue to be managed regionally by the consortia. This will allow for continued strategic use of the grant, and you should consider how you will plan, set targets and support learners who are looked after, adopted and subject to care orders through effective use of the grant.

In line with the Welsh Government's looked after children education plan (Raising the ambitions and educational attainment of children who are looked after in Wales) the PDG should be used to meet the costs of identifying a lead coordinator who will retain strategic focus on looked after children in education and ensure the delivery of an agreed, strategic programme of work.

The lead coordinators in each consortium should work with local authorities and schools to identify and share good practice via the online community of practice: <http://www.exchange.wales.org/careandeducation>.

In addition to the PDG allocation for schools and settings £100,000 per annum is provided to retain the services of the newly appointed strategic advisers. This role is supplementary to the role of the looked after children lead coordinator and as such needs to be reflected in the structure of the posts and any administrative support. This will cover costs associated with the role, including travel and subsistence (in recognition of the geographical spread and the need to work with schools right across the region and the need to meet each half-term with the Welsh Government and Raising Attainment Advocate), and administrative support (to enable the lead to operate effectively at a strategic level).

Where the PDG strategic adviser and PDG-LAC lead coordinator roles are combined, evidence is expected to be provided to satisfy us that the Funding provided for the two distinct roles is being used solely and fully for the Purposes outlined. Where the two roles are combined and being performed by one member of staff, wider consortia resources and support will need to be put in place to facilitate the effective delivery of both roles.

PDG strategic advisers and PDG-LAC coordinators should provide robust, constructive challenge and high quality support to enable head teachers and governing bodies to improve the attainment of disadvantaged learners. This equitable approach will strengthen regional leadership arrangements and ensure greater national consistency in supporting e-FSM learners across Wales.

PDG strategic advisers and PDG-LAC coordinators should facilitate a partnership approach to PDG and raising the attainment of disadvantaged learners across the region and collaboration and consistency at a national level.

PDG strategic advisers and PDG-LAC coordinators will work with the Welsh Government and our Raising Attainment Advocate, Sir Alasdair Macdonald, to strengthen collaboration across Wales and ensure that good practice is shared and built upon. They will be expected to have a strong knowledge of all settings (including non-maintained settings) in the region, including understanding the associated data, to allow them to identify schools that need support to improve and identify best practice so that this is shared regionally and nationally.

Building networks through identification of key leads within every school and establishing a regional 'network of leaders' to support and drive progress will be critical.

Strategic advisers and coordinators will be the point of contact for all schools and settings on effective and evidence based interventions. They will be expected to provide extra support and guidance advising on:

- appropriate interventions based on the latest evidence;
- using whole school approaches;
- the benefits of tracking;
- supporting evaluation of current practices; and
- facilitating regional support networks.

SCHEDULE 2

Notification Events

The Notification Events referred to in Condition 9 are listed below:

1. repayment of any part of the Funding is required under European Law (whether under State Aid Rules or otherwise);
2. you fail to comply with any of the Conditions;
3. the Funding, in full or in part, is not being used for the Purposes;
4. you fail to achieve any or all of the Targets;
5. there is unsatisfactory progress towards completing the Purposes, including meeting the Targets;
6. you fail to provide information about the Purposes requested by us, the European Commission or the European Court of Auditors, or any of their auditors, agents or representatives;
7. we have reason to believe that you and/or any of your Personnel are involved in fraudulent activity or have been involved in fraudulent activity [whilst the Purposes are/were being carried out];
8. we have made an overpayment of Funding to you;
9. any declaration made in Condition 8 is incorrect in any respect or, if repeated at any time with reference to the facts and circumstances then existing, would be incorrect;
10. any petition is presented or resolution passed or other action taken for your bankruptcy or winding-up or a petition is presented for an administration order against you;
11. a receiver or an administrative receiver is appointed in respect of you or in respect of all or any part of your assets;
12. a moratorium in respect of all or any of your debts or a composition or an agreement with your creditors is agreed, applied for, ordered or declared;
13. you are unable, or admit in writing your inability, to pay your debts as they fall due;
14. any distress, execution, attachment or other process affects any of your assets;
15. a statutory demand is issued against you;
16. you cease, or threaten to cease, to carry on all or a substantial part of your business;
17. there is a change in your constitution, status, control or ownership and/or your external auditors resign;
18. there is a change in your shareholders, directors, trustees or partners;
19. any event occurs or circumstances arise which in our opinion gives reasonable grounds for believing that you may not, or may be unable, to perform or comply with any of your obligations under these Conditions.

**SCHEDULE 3
Payment Profile**

Instalment	Amount	Claim deadline	Notes
1	Up to 40% of total allocation	15 November 2018	<ol style="list-style-type: none"> 1. All additional information requested in relation to the support plan must be submitted to the WG prior to payment being made. 2. Progress of the PDG will be evaluated at Challenge and Review meetings in advance of which you will be required to provide a short report on progress to date aligned to Education in Wales. 3. The Project Manager will meet with Welsh Government officials each half term. The meetings will be held jointly with all consortia leads and the WG Raising Attainment Advocate. These meetings and supporting documents will focus on successes, challenges and case studies; and also provide opportunities for learning and development and to ensure national consistency.
2	Up to 20% of total allocation	19 January 2019	<ol style="list-style-type: none"> 1. Progress of the PDG will also be evaluated at Challenge and Review meetings in advance of which you will be required to provide a short report on progress to date aligned to Education in Wales. 2. The Project Manager will meet with Welsh Government officials each half term. The meetings will be held jointly with all consortia leads and the WG Raising Attainment Advocate. These meetings and supporting documents will focus on successes, challenges and case studies; and also provide opportunities for learning and development and to ensure national consistency.
3	Balance of total allocation, based on projected actual end of year expenditure	9 March 2019	<ol style="list-style-type: none"> 1. Progress of the PDG will also be evaluated at Challenge and Review meetings in advance of which you will be required to provide a short report on progress to date aligned to Education in Wales. 2. The Project Manager will meet with Welsh Government officials each half term. The meetings will be held jointly with all consortia leads and the WG Raising Attainment Advocate. These meetings and supporting

			documents will focus on successes, challenges and case studies; and also provide opportunities for learning and development and to ensure national consistency.
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Dates of all meetings will be agreed directly with the relevant officials.

SCHEDULE 4
Draft Audit Certificate 2018-19
Pupil Development Grant

**AUTHORITY STATEMENT OF REVENUE EXPENDITURE AND LEAD
AUTHORITY ALLOCATION CERTIFICATE**

The authority must complete and submit its annual statement to its lead authority by **30 September 2019** with a photocopy forwarded to:

Jane Sorton Davies

Education and Public Services, Welsh Government, Cathays Park, Cardiff, CF10 3NQ.

The Lead Authority should then complete and submit the Lead Authority Allocation Certificate by **31 December 2019** to the Welsh Government Official at the address above, with photocopies forwarded to the regional lead and all authorities within the region.

Local Authority	Contact
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Approved PDG allocations (A) (£)	Actual eligible expenditure incurred by authorities within the region (B) (£)	Grant entitlement (C) (£)	Grant paid to authorities within the region (D) (£)	Balances within the region (due to / from) (E) (£)

Grant entitlement (C) above	£	Total grant drawn down by the regional lead from Welsh Government	£	Total (due to / from Welsh Government)	£

Within total PDG column (C) above – delegated amounts:				
PDG for e-FSM 5-15 years	£	which amounts to	%	of PDG spend (C)
PDG for LAC 4 –15 years	£	which amounts to	%	of PDG spend (C)
PDG for EYPDG	£	which amounts to	%	of PDG spend (C)
PDG for PRUs	£	which amounts to	%	of PDG spend (C)

Certificate of the Chief Finance Officer or delegated authority

I certify that, to the best of my knowledge and belief:

- The above entries are correct and in particular, the entry at column B above correctly records actual incurred expenditure by the local authorities in the region and schools from the revenue grants in the financial year **1 April 2018 to 31 March 2019**. The expenditure has been approved by the Welsh Government and is eligible for grant in accordance with:
 - the Welsh Government Pupil Development Grant guidance;
 - the consortium approved PDG Support Plan; and
 - the grant conditions set out in the authority's Welsh Government grant award of funding letter 2018-19.
- Systems and controls are in place at the authority to ensure the grant is used for the purposes for which it is given whether spent directly, passed to authority schools or third parties.
- No duplicate claim has been made by this authority for funding in respect of these items from the Welsh Government or any other body.
- Monitoring arrangements are in place to ensure implementation is progressing against the agreed plan, with any variances reported in the in-year and end of year monitoring reports.

Signed.....Date.....

Name..... (Local Authority Chief Finance Officer)

THREE SIGNATORIES ARE REQUIRED

We hereby accept the award of Funding and the Conditions relating to the Funding

_____ Signature
An authorised signatory of Section 151 Officer of Rhonda Cynon Taff
County Borough Council as Lead Banker Authority on behalf of Central
South Consortium.

_____ Name

_____ Job Title

_____ Date

_____ Signature
An authorised signatory of Section 151 Officer of Rhonda Cynon Taff
County Borough Council as Lead Banker Authority on behalf of Central
South Consortium.

_____ Name

_____ Job Title

_____ Date

_____ Signature
An authorised signatory of on behalf of the Central South Consortium.

_____ Name

_____ Job Title

_____ Date